

# ProManage

ProManage, LLC  
130 E. Randolph St. Suite 2825  
Chicago, IL 60601  
(312) 456-0665  
[www.promanageplan.com](http://www.promanageplan.com)

## **Form ADV Part 2B Brochure Supplement**

11/02/2020

This brochure supplement provides information about some of our staff and supplements the ProManage, LLC brochure. You should have received a copy of that brochure. Please contact Barbara J. Auerbach, Chief Compliance Officer (CCO), if you did not receive ProManage's brochure or if you have any questions about the contents of this supplement.

Additional information about these individuals is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Cover Page**

### **Robert L. Cohen**

ProManage, LLC  
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Date of this Brochure Supplement: November 2, 2020

This brochure supplement provides information about Robert L. Cohen, CFA that supplements the ProManage, LLC brochure. You should have received a copy of that brochure. Please contact Barbara J. Auerbach, CCO, if you did not receive ProManage's brochure or if you have any questions about the contents of this supplement.

Additional information about Robert L. Cohen, CFA is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Educational Background and Business Experience**

**Robert L. Cohen** Born 1962

### Education:

DePauw University, Greencastle, IN, 1984, B.A. Mathematics & Economics

### Business Background (for preceding five years):

ProManage, LLC, Chief Investment Officer and Investment Committee Member, 05/2017 to Present.

ProManage, LLC, Senior Consultant, 03/2017 to 05/2017.

Portico Benefit Services, Lead Actuary, 07/2016 to 01/2017.

Compensation & Benefit Solutions, Actuary Director, 01/2014 to 07/2016.

Compensation & Benefit Solutions, Actuary, 08/2013 to 01/2014.

Ernst & Young, Actuary/Senior Manager, 04/2011 to 07/2013.

### Designations:

Robert obtained the Chartered Financial Analyst designation from the CFA Institute in 2004.

The Chartered Financial Analyst (CFA) charter is a credential established in 1962 and awarded by the CFA Institute. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified investment work experience; 3) join the CFA Institute as members; and 4)

commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

Robert attained the FSA designation as a Fellow of the Society of Actuaries in 1993 under the Retirement track.

Requirements to attain the FSA designation include exams, e-Learning courses and modules, validation of educational experiences outside the SOA Education system (VEE), a professionalism seminar and the Fellowship Admissions Course. Fellowship candidates choose a specialty track and complete the requirements of that track.

He is also a Member of the American Academy of Actuaries.

### **Disciplinary Information**

Robert has not been subject to any legal or disciplinary events.

### **Other Business Activities**

None.

### **Additional Compensation**

None.

### **Supervision**

Robert's supervisor is Anthony Sabos, Managing Member/CEO of ProManage, LLC; he can be reached at (312) 456-0665. Robert also coordinates his activities with ProManage's Investment Committee. ProManage maintains a compliance program featuring a Code of Ethics and other policies and procedures to prevent regulatory rule violations and ensure we fulfill our fiduciary obligations to our clients. ProManage's Chief Compliance Officer (CCO) supervises all staff and reviews the effectiveness of the compliance program each year.

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### **Anthony (Tony) Sabos**

ProManage, LLC  
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Date of this Brochure Supplement: November 2, 2020

This brochure supplement provides information about Anthony Sabos that supplements the ProManage, LLC brochure. You should have received a copy of that brochure. Please contact Barbara J. Auerbach, CCO, if you did not receive ProManage's brochure or if you have any questions about the contents of this supplement.

Additional information about Anthony Sabos is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Educational Background and Business Experience**

**Anthony (Tony) Sabos** Born 1960

### Education:

University of Oklahoma, 1983, B.B.A. Finance/Accounting

### Business Background (for preceding five years):

ProManage, LLC, Managing Member, CEO & Investment Committee Member, 06/2018 to Present.

ProManage, LLC, Managing Member, President & Investment Committee Member, 09/2015 to 06/2018.

ProManage, LLC, Member, President & Investment Committee Member, 10/2004 to 09/2015.

Strategic Financial Concepts, Inc., (Inactive) Treasurer, President, Director 06/1998 to Present.

## **Disciplinary Information**

Tony has not been subject to any legal or disciplinary events.

### **Other Business Activities**

None.

### **Additional Compensation**

None.

### **Supervision**

Tony is a Managing Member of ProManage, LLC. ProManage maintains a compliance program featuring a Code of Ethics and other policies and procedures to prevent regulatory rule violations and ensure we fulfill our fiduciary obligations to our clients. ProManage's Chief Compliance Officer (CCO) supervises all staff and reviews the effectiveness of the compliance program each year. You may reach ProManage's CCO, Barbara J. Auerbach, at (312) 456-0665.

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### **Michael M. Chard**

ProManage, LLC  
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Date of this Brochure Supplement: November 2, 2020

This brochure supplement provides information about Michael M. Chard that supplements the ProManage, LLC brochure. You should have received a copy of that brochure. Please contact Barbara J. Auerbach, CCO, if you did not receive ProManage's brochure or if you have any questions about the contents of this supplement.

Additional information about Michael M. Chard is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Educational Background and Business Experience**

**Michael M. Chard** Born 1960

### Education:

Illinois State University, 1982, B.S. Economics

### Business Background (for preceding five years):

ProManage, LLC, President & Investment Committee Member, 12/2018 to Present.

ProManage, LLC, President, 06/2018 to Present

ProManage, LLC, Executive Vice President Account Management, 01/2008 to 06/2018.

ProManage, LLC, Vice President Account Management, 10/2004 to 01/2008.

## **Disciplinary Information**

Michael has not been subject to any legal or disciplinary events.

## **Other Business Activities**

None.

## **Additional Compensation**

None.

## **Supervision**

Michael is President of ProManage, LLC and is supervised by ProManage's Managing Member & CEO Anthony Sabos. ProManage maintains a compliance program featuring a Code of Ethics and other policies and procedures to prevent regulatory rule violations and ensure we fulfill our fiduciary obligations to our clients. ProManage's Chief Compliance Officer (CCO) supervises all staff and reviews the effectiveness of the compliance program each year. You may reach ProManage's Managing Member/CEO, Anthony Sabos and CCO, Barbara J. Auerbach, at (312) 456-0665.

## **Cover Page**

### **Daniel P. Cassidy**

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Date of this Brochure Supplement: November 2, 2020

This brochure supplement provides information about Dan Cassidy that supplements the ProManage, LLC brochure. You should have received a copy of that brochure. Please contact Barbara J. Auerbach, CCO, if you did not receive ProManage's brochure or if you have any questions about the contents of this supplement.

Additional information about Dan Cassidy is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Educational Background and Business Experience**

**Daniel P. Cassidy**                      Born 1966

### Education:

Tufts University, 1988, B.S. Mathematics

### Business Background (for preceding five years):

ProManage, LLC, Investment Committee Member, 8/2020 to Present.  
ProManage, LLC, Senior Vice President & Senior Consultant, 5/2020 to Present.  
River and Mercantile, Senior Advisor, 02/2012 to 05/2020

### Designations:

Dan obtained the Chartered Financial Analyst designation from the CFA Institute in 2006.

The Chartered Financial Analyst (CFA) charter is a credential established in 1962 and awarded by the CFA Institute. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified investment work experience; 3) join the CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

Dan attained the FSA designation as a Fellow of the Society of Actuaries in 1995.



Current requirements to attain the FSA designation include exams, e-Learning courses and modules, validation of educational experiences outside the SOA Education system (VEE), a professionalism seminar and the Fellowship Admissions Course. Fellowship candidates choose a specialty track and complete the requirements of that track; Dan followed the Retirement track.

He is also a Chartered Enterprise Risk Analyst (CERA) of the Society of Actuaries. Requirements for the CERA credential include successful completion of exams, an e-Learning module, validation of educational experiences outside the SOA Education system (VEE) and a professionalism seminar.

### **Disciplinary Information**

Dan has not been subject to legal or disciplinary events.

### **Other Business Activities**

Though Dan serves as a Director of a non-investment-related start-up, he spends substantially all his time on ProManage activities.

### **Additional Compensation**

Dan's compensation also includes structured commissions for direct sales of ProManage's services, as well as non-cash rewards for achieving particular goals. Those incentives will likely lead him to advocate approaches to increase ProManage's business, in particular with large entities.

### **Supervision**

Dan's supervisor is Anthony Sabos, Managing Member/CEO of ProManage, LLC who also serves on the Investment Committee. ProManage maintains a compliance program featuring a Code of Ethics and other policies and procedures to prevent regulatory rule violations and ensure we fulfill our fiduciary obligations to our clients. ProManage's Chief Compliance Officer (CCO) supervises all staff and reviews the effectiveness of the compliance program each year. You may reach ProManage's Managing Member/CEO, Anthony Sabos and CCO, Barbara J. Auerbach, at (312) 456-0665.